

I. Recognisability by Semigroups and Monoids

Radu Iosif (CNRS/VERIMAG)

Semigroups

$$(S, \cdot) \quad \begin{array}{l} a, b \in S \Rightarrow a \cdot b \in S \\ (a \cdot b) \cdot c = a \cdot (b \cdot c) \end{array} \quad abc \stackrel{\text{def}}{=} a \cdot b \cdot c$$

Example 1 $(\{0, 1, \dots, n-1\}, +_n) \quad x +_n y \stackrel{\text{def}}{=} x+y \bmod n$

Example 2 $(2^{A \times A}, \circ) \quad P \circ Q \stackrel{\text{def}}{=} \{(x, y) \mid \exists z \in A . (x, z) \in P \text{ and } (z, y) \in Q\}$

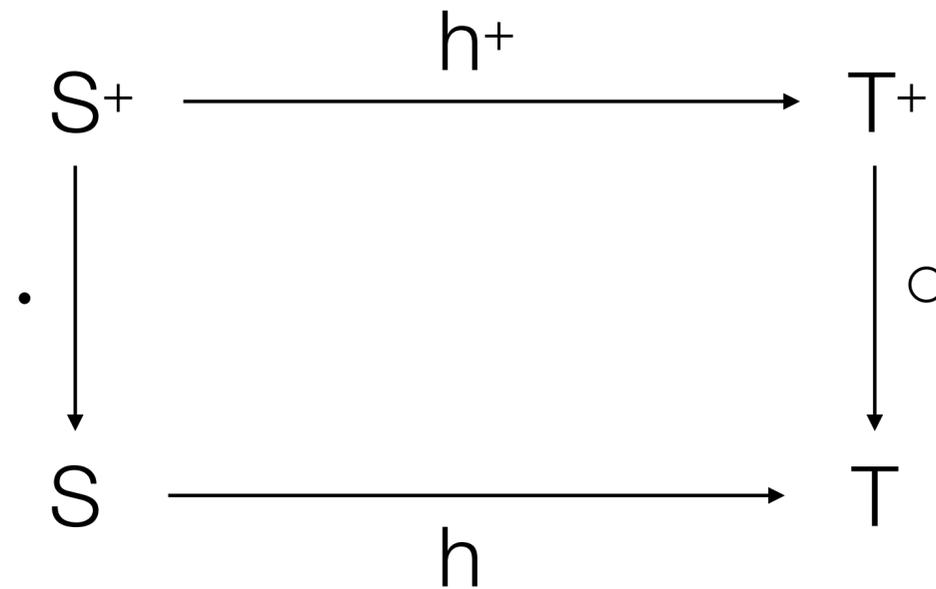
Example 3 $(\Sigma^+, \cdot) \quad u \cdot v \stackrel{\text{def}}{=} uv$

Semigroup Homomorphism

$$h : (S, \cdot) \rightarrow (T, \circ)$$

$$h(a \cdot b) = h(a) \circ h(b)$$

$$h^+(a_1, a_2, \dots, a_n) \stackrel{\text{def}}{=} h(a_1), h(a_2), \dots, h(a_n)$$



Monoids

$$a, b \in S \Rightarrow a \cdot b \in S$$

$$(S, 1, \cdot)$$

$$(a \cdot b) \cdot c = a \cdot (b \cdot c)$$

$$abc \stackrel{\text{def}}{=} a \cdot b \cdot c$$

$$1 \cdot a = a \cdot 1 = a$$

Example 1

$$(\{0, 1, \dots, n-1\}, 0, +_n)$$

$$x +_n y \stackrel{\text{def}}{=} x+y \bmod n$$

Example 2

$$(2^{A \times A}, \text{Id}_A, \circ)$$

$$P \circ Q \stackrel{\text{def}}{=} \{(x, y) \mid \exists z \in A . (x, z) \in P \text{ and } (z, y) \in Q\}$$

$$\text{Id}_A \stackrel{\text{def}}{=} \{(x, x) \mid x \in A\}$$

Example 3

$$(\Sigma^*, \varepsilon, \cdot)$$

$$u \cdot v \stackrel{\text{def}}{=} uv$$

Monoid Homomorphism

$$h : (S, 1_S, \cdot) \rightarrow (T, 1_T, \circ)$$

$$h(a \cdot b) = h(a) \circ h(b)$$

$$h(1_S) = 1_T$$

$$h^*(a_1, a_2, \dots, a_n) \stackrel{\text{def}}{=} h(a_1), h(a_2), \dots, h(a_n)$$

$$h^*(\varepsilon) \stackrel{\text{def}}{=} \varepsilon$$

$$\begin{array}{ccc} S^* & \xrightarrow{h^*} & T^* \\ \cdot \downarrow & & \downarrow \circ \\ S & \xrightarrow{h} & T \end{array}$$

Recognisability

(S, \cdot) semigroup (same definition for S monoid)

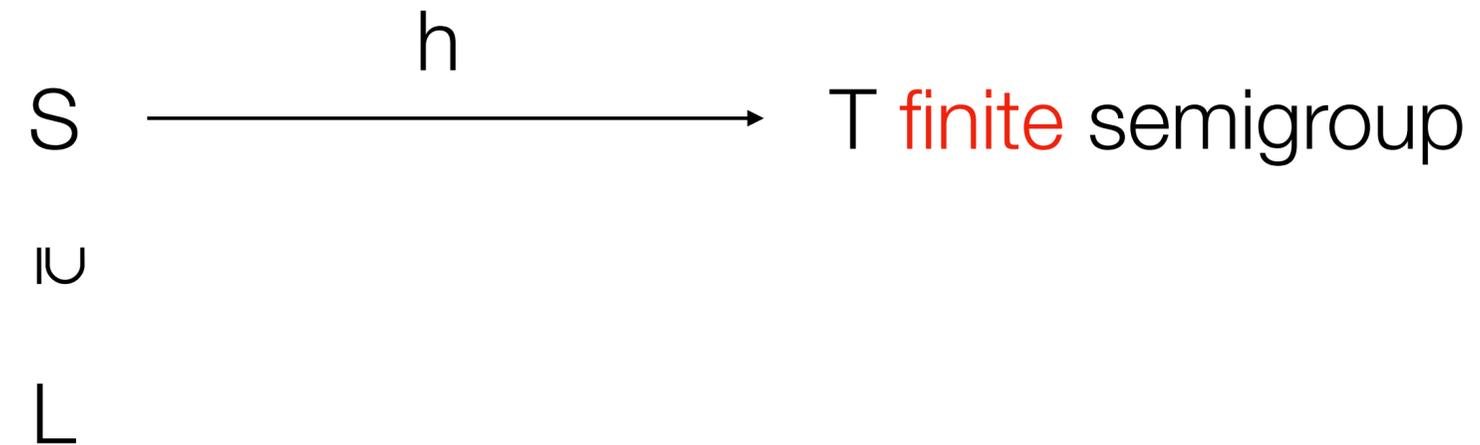
S

\cup

L

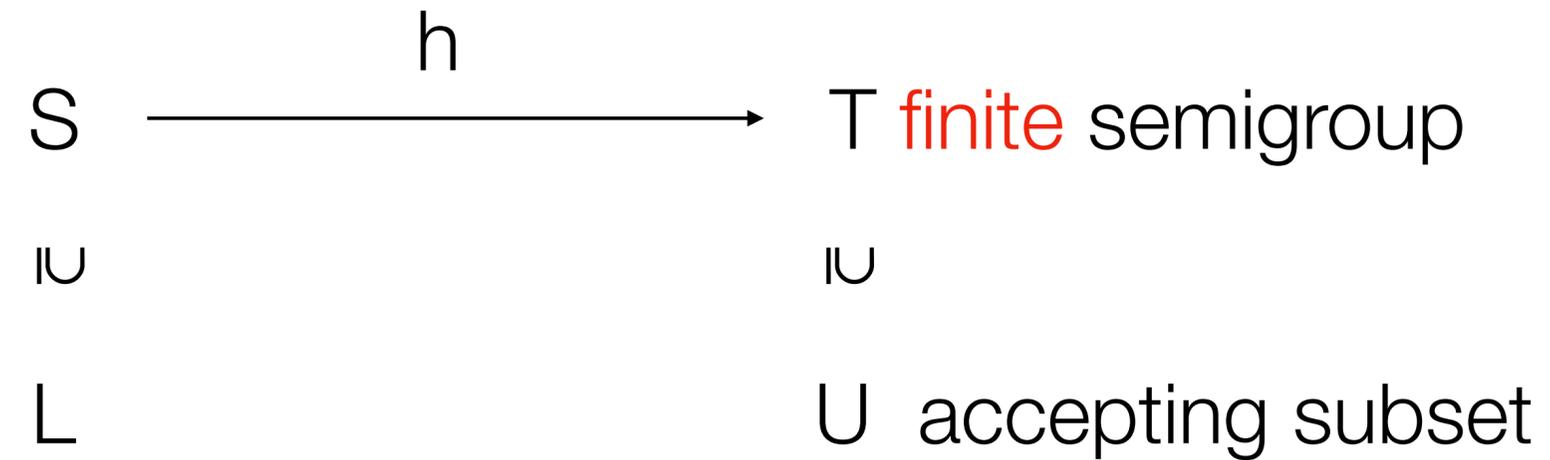
Recognisability

(S, \cdot) semigroup (same definition for S monoid)



Recognisability

(S, \cdot) semigroup (same definition for S monoid)



Recognisability

(S, \cdot) semigroup (same definition for S monoid)

$$\begin{array}{ccc} S & \xrightarrow{h} & T \text{ finite semigroup} \\ \cup & & \cup \\ L & = & h^{-1}(U) \text{ accepting subset} \end{array}$$

Recognisability

(S, \cdot) semigroup (same definition for S monoid)

$$\begin{array}{ccc} S & \xrightarrow{h} & T \text{ finite semigroup} \\ U & & U \\ L & = & h^{-1}(U) \text{ accepting subset} \end{array}$$

Example 1 The set of odd (even) numbers is recognisable in $(\mathbb{N}, +)$

Recognisable Word Languages

Theorem $L \subseteq \Sigma^*$ is recognisable in the monoid $(\Sigma^*, \varepsilon, \cdot)$

\Leftrightarrow

L is the language of a nondeterministic finite automaton $A = (Q, I, F, \rightarrow)$

" \Rightarrow "

$$\Sigma^* \xrightarrow{h} (Q, 1_Q, \circ) \text{ finite monoid}$$

$$L = h^{-1}(F)$$

$$A = (Q, \{1_Q\}, F, \rightarrow) \quad q \xrightarrow{a} q \circ h(a)$$

Recognisable Word Languages

Theorem $L \subseteq \Sigma^*$ is recognisable in the monoid $(\Sigma^*, \varepsilon, \cdot)$

\Leftrightarrow

L is the language of a nondeterministic finite automaton $A = (Q, I, F, \rightarrow)$

" \Leftarrow "

$$\Sigma^* \xrightarrow{h(w)=\{(q,q') \mid q \xrightarrow{w} q'\}} (2^{Q \times Q}, \text{Id}_Q, \circ)$$

$I \cup$

$I \cup$

$$L = h^{-1}(\{R \mid \exists i \in I \exists f \in F . (i, f) \in R\})$$

Syntactic Monoids

$(S, 1_S, \cdot)$ monoid $\sim \subseteq S \times S$ $a \sim b \Rightarrow sat \sim sbt$, for all $s, t \in S$ congruence

Syntactic Monoids

$(S, 1_S, \cdot)$ monoid $\sim \subseteq S \times S$ $a \sim b \Rightarrow sat \sim sbt$, for all $s, t \in S$ congruence

$L \subseteq S$ $a \sim_L b \stackrel{\text{def}}{=} sat \in L \Leftrightarrow sbt \in L$, for all $s, t \in S$ syntactic congruence

Syntactic Monoids

$(S, 1_S, \cdot)$ monoid	$\sim \subseteq S \times S$	$a \sim b \Rightarrow sat \sim sbt$, for all $s, t \in S$	congruence
$L \subseteq S$	$a \sim_L b \stackrel{\text{def}}{=} sat \in L \Leftrightarrow sbt \in L$, for all $s, t \in S$		syntactic congruence
$(S/\sim_L, [1_S]_{\sim_L}, \cdot)$	$[a]_{\sim_L} \cdot [b]_{\sim_L} \stackrel{\text{def}}{=} [ab]_{\sim_L}$		syntactic monoid

Syntactic Monoids

$(S, 1_S, \cdot)$ monoid $\sim \subseteq S \times S$ $a \sim b \Rightarrow sat \sim sbt$, for all $s, t \in S$ congruence

$L \subseteq S$ $a \sim_L b \stackrel{\text{def}}{=} sat \in L \Leftrightarrow sbt \in L$, for all $s, t \in S$ syntactic congruence

$(S/\sim_L, [1_S]_{\sim_L}, \cdot)$ $[a]_{\sim_L} \cdot [b]_{\sim_L} \stackrel{\text{def}}{=} [ab]_{\sim_L}$ syntactic monoid

Theorem [Myhill-Nerode]

$L \subseteq S$ is recognisable $\Leftrightarrow S/\sim_L$ is finite

Syntactic Monoids

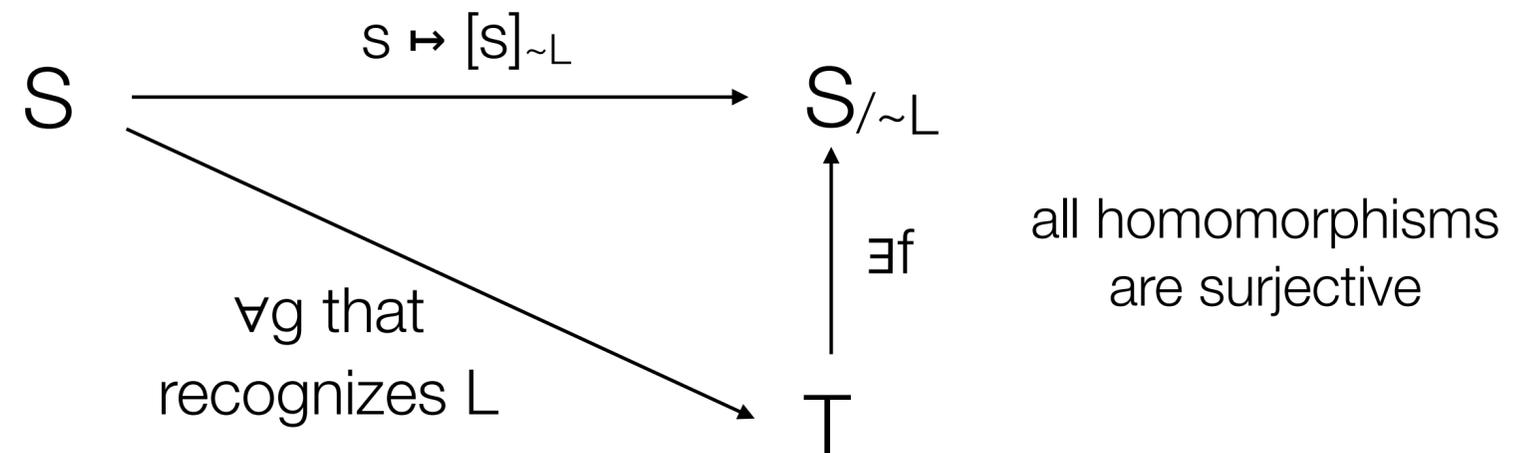
$(S, 1_S, \cdot)$ monoid $\sim \subseteq S \times S$ $a \sim b \Rightarrow sat \sim sbt$, for all $s, t \in S$ congruence

$L \subseteq S$ $a \sim_L b \stackrel{\text{def}}{=} sat \in L \Leftrightarrow sbt \in L$, for all $s, t \in S$ syntactic congruence

$(S/\sim_L, [1_S]_{\sim_L}, \cdot)$ $[a]_{\sim_L} \cdot [b]_{\sim_L} \stackrel{\text{def}}{=} [ab]_{\sim_L}$ syntactic monoid

Theorem [Myhill-Nerode]

$L \subseteq S$ is recognisable $\Leftrightarrow S/\sim_L$ is finite



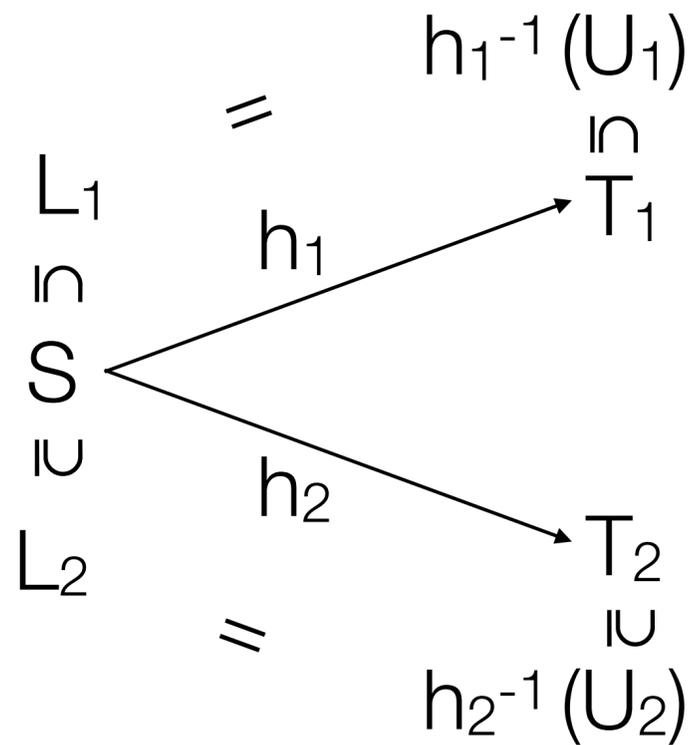
Properties of Recognisable Sets

Recognisable sets are closed under boolean combinations

Properties of Recognisable Sets

Recognisable sets are closed under boolean combinations

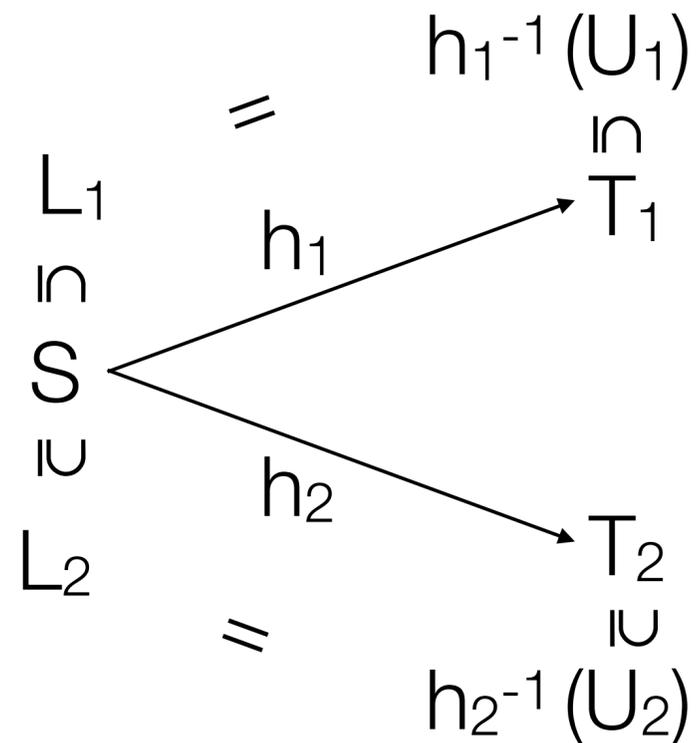
intersection



Properties of Recognisable Sets

Recognisable sets are closed under boolean combinations

intersection



$$(s_1, s_2) \otimes (t_1, t_2) \stackrel{\text{def}}{=} (s_1 t_1, s_2 t_2)$$

$$S \xrightarrow{h \stackrel{\text{def}}{=} (h_1, h_2)} (T_1 \times T_2, (1_{T_1}, 1_{T_2}), \otimes)$$

$$L_1 \cap L_2 = h^{-1}(U_1 \times U_2)$$

Properties of Recognisable Sets

Recognisable sets are closed under boolean combinations

complement

$$\begin{array}{ccc} S & \xrightarrow{h} & T \\ \bar{S} & & U \\ \bar{S} & = & h^{-1}(U) \end{array}$$

Properties of Recognisable Sets

Recognisable sets are closed under boolean combinations

complement

$$\begin{array}{ccc} S & \xrightarrow{h} & T \\ \bar{L} & & U \\ = & & h^{-1}(U) \end{array}$$

$$\begin{array}{ccc} S & \xrightarrow{h} & T \\ U & & U \\ S \setminus L & = & h^{-1}(T \setminus U) \end{array}$$

Properties of Recognisable Sets

Recognisable sets are closed under inverse homomorphisms

$$\begin{array}{ccc} S & \xrightarrow{h} & T \\ U & & U \\ L & = & h^{-1}(U) \end{array}$$

Properties of Recognisable Sets

Recognisable sets are closed under inverse homomorphisms

$$\begin{array}{ccccc} S' & \xrightarrow{g} & S & \xrightarrow{h} & T \\ \cup & & \cup & & \cup \\ g^{-1}(L) & = & (h \circ g)^{-1}(U) & & L & = & h^{-1}(U) \end{array}$$

Logic in General

$\mathcal{R} = r_1, r_2, \dots$

signature of relation symbols

Logic in General

$\mathcal{R} = r_1, r_2, \dots$

signature of relation symbols

$\forall x, \exists x$

quantification
over individuals

$\varphi \wedge \psi, \varphi \vee \psi, \neg \psi$

boolean
operations

$r(x_1, \dots, x_n)$

relations from
the signature

$x=y$

equality

First Order Logic (FO)

Logic in General

$\mathcal{R} = r_1, r_2, \dots$

signature of relation symbols

$\forall x, \exists x$

quantification
over individuals

$\varphi \wedge \psi, \varphi \vee \psi, \neg \psi$

boolean
operations

$r(x_1, \dots, x_n)$

relations from
the signature

$x=y$

equality

First Order Logic (FO)

$\forall X, \exists X$

quantification
over sets

$x \in X$

membership

Monadic Second Order Logic (MSO)

Logic in General

$$\mathcal{R} = r_1, r_2, \dots$$

signature of relation symbols

$$S = (\mathcal{U}, r^S_1, r^S_2, \dots)$$

relational structure

universe

interpretation of
relation symbols

Logic in General

$$\mathcal{R} = r_1, r_2, \dots$$

signature of relation symbols

$$S = (\mathcal{U}, r^S_1, r^S_2, \dots)$$

relational structure

universe

interpretation of
relation symbols

$$S, u_1, \dots, u_n, \mathcal{U}_1, \dots, \mathcal{U}_m \models \varphi(x_1, \dots, x_n, \mathcal{X}_1, \dots, \mathcal{X}_m)$$

the formula φ is true in S when

- x_i is mapped to the element u_i
- \mathcal{X}_j is mapped to the set \mathcal{U}_j

Logic for Words

$\Sigma = \{a, b, c, \dots\}$

finite alphabet

$x \leq y$

position x is
before position y

$a(x)$

position x has
letter a

Logic for Words

$$\Sigma = \{a, b, c, \dots\}$$

finite alphabet

$$x \leq y$$

position x is
before position y

$$a(x)$$

position x has
letter a

$$\mathcal{L}(\varphi) = \{w \in \Sigma \mid w \models \varphi\}$$

language of a sentence

Logic for Words

$$\Sigma = \{a, b, c, \dots\}$$

finite alphabet

$$x \leq y$$

position x is
before position y

$$a(x)$$

position x has
letter a

$$\mathcal{L}(\varphi) = \{w \in \Sigma^* \mid w \models \varphi\}$$

language of a sentence

$\mathcal{L} \subseteq \Sigma^*$ is FO (MSO) definable iff $\mathcal{L} = \mathcal{L}(\varphi)$ for φ a FO (MSO) sentence

Some FO-definable Languages

$$x+1=y \stackrel{\text{def}}{=} \underbrace{x \leq y \wedge \neg x=y}_{x < y} \wedge \forall z . x \leq z \wedge z \leq y \Rightarrow x=z \vee z=y$$

Some FO-definable Languages

$$x+1=y \stackrel{\text{def}}{=} \underbrace{x \leq y \wedge \neg x=y}_{x < y} \wedge \forall z . x \leq z \wedge z \leq y \Rightarrow x=z \vee z=y$$

$$a^*bc^* \quad \exists x . b(x) \wedge \forall y . y < x \Rightarrow a(x) \wedge \forall y . x < y \Rightarrow c(x)$$

Some FO-definable Languages

$$x+1=y \stackrel{\text{def}}{=} \underbrace{x \leq y \wedge \neg x=y}_{x < y} \wedge \forall z . x \leq z \wedge z \leq y \Rightarrow x=z \vee z=y$$

$$a^*bc^* \quad \exists x . b(x) \wedge \forall y . y < x \Rightarrow a(x) \wedge \forall y . x < y \Rightarrow c(x)$$

$$\text{first}(x) \stackrel{\text{def}}{=} \forall y . x \leq y \quad \text{last}(x) \stackrel{\text{def}}{=} \forall y . y \leq x$$

Some FO-definable Languages

$$x+1=y \stackrel{\text{def}}{=} \underbrace{x \leq y \wedge \neg x=y}_{x < y} \wedge \forall z . x \leq z \wedge z \leq y \Rightarrow x=z \vee z=y$$

$$a^*bc^* \quad \exists x . b(x) \wedge \forall y . y < x \Rightarrow a(x) \wedge \forall y . x < y \Rightarrow c(x)$$

$$\text{first}(x) \stackrel{\text{def}}{=} \forall y . x \leq y \quad \text{last}(x) \stackrel{\text{def}}{=} \forall y . y \leq x$$

$$(ab)^+ \quad \exists x . \text{first}(x) \wedge a(x) \wedge \forall y . a(y) \Rightarrow \neg \text{last}(y) \wedge b(y+1) \wedge \forall y . b(y) \wedge \neg \text{last}(y) \Rightarrow a(y+1)$$

Some MSO-definable Languages

$(aa)^+$ all words of non-zero even length over the alphabet $\Sigma = \{a\}$

$$\exists X . \forall x . \text{first}(x) \Rightarrow x \in X \wedge \text{last}(x) \Rightarrow x \notin X \wedge \forall y . x=y+1 \Rightarrow (x \in X \Leftrightarrow y \notin X)$$

all words having a on even positions over the alphabet $\Sigma = \{a, b\}$

$$\exists X . \forall x . \text{first}(x) \Rightarrow x \in X \wedge \forall y . x \in X \wedge x=y+1 \Rightarrow y \notin X \wedge \forall x . X(x) \Rightarrow a(x)$$

As we shall see later, these languages are not FO-definable ...

Logic for Words (the successor model)

$$\Sigma = \{a, b, c, \dots\}$$

finite alphabet

$$x+1=y$$

position y is
the successor of x

$$\{a(x) \mid a \in \Sigma\}$$

position x has
letter a

signature

Makes a difference for FO-definability but not for MSO-definability

$$x \leq y \stackrel{\text{def}}{=} \forall X . (x \in X \wedge (\underbrace{\forall y \forall z . y \in X \wedge z \in X \wedge y+1=z \Rightarrow z \in X}_{\text{closed under successors}})) \Rightarrow y \in X$$

closed under successors

MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Rightarrow " L is recognisable \Rightarrow L is the language of a finite automaton A with n states

$\exists X_1 \dots \exists X_n$. the sets $X_1 \dots X_n$ describe an accepting run of A

MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Leftarrow " $X \subseteq Y$ $X \leq Y$ $X \subseteq a$ $X \neq \emptyset \wedge \forall Y . Y \subseteq X \Rightarrow Y = \emptyset \vee Y = X$
 $x \leq y$ for all $x \in X$ and $y \in Y$ $a(x)$ for all $x \in X$ X is a singleton

each MSO formula can be written as $\varphi(x_1 \dots x_n)$

MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Leftarrow "

$X \subseteq Y$	$X \leq Y$	$X \subseteq a$	$X \neq \emptyset \wedge \forall Y. Y \subseteq X \Rightarrow Y = \emptyset \vee Y = X$
$x \leq y$ for all $x \in X$ and $y \in Y$	$a(x)$ for all $x \in X$		X is a singleton

each MSO formula can be written as $\varphi(x_1 \dots x_n)$

the language of $\varphi(x_1 \dots x_n)$ is the set of words w

over the alphabet $\Sigma \times \{0,1\}^n : w, \mathcal{U}_1, \dots, \mathcal{U}_n \models \varphi(x_1 \dots x_n)$

where $i \in \mathcal{U}_j \Leftrightarrow$ the j -th bit on position i is 1

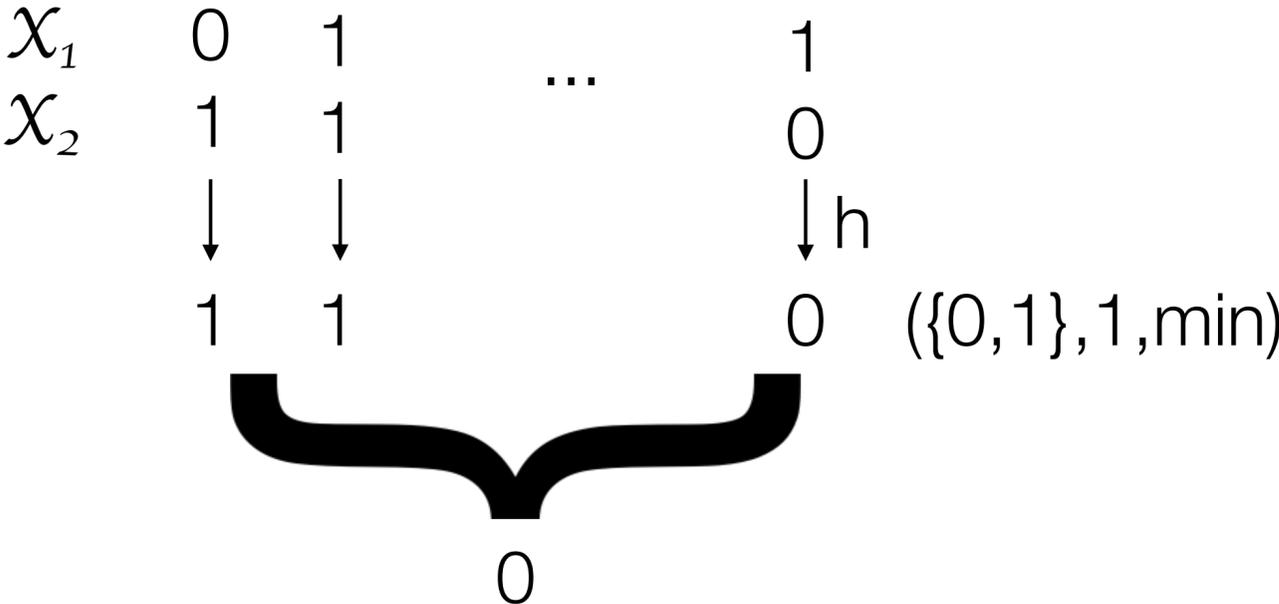
x_1	0	1	...	0
x_2	1	0	...	1
\vdots	\vdots	\vdots		\vdots
x_n	0	0	...	1
Σ	a_1	a_2	...	a_m

MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Leftarrow " $\mathcal{X}_1 \subseteq \mathcal{X}_2$



$\mathcal{X}_1 \subseteq a$

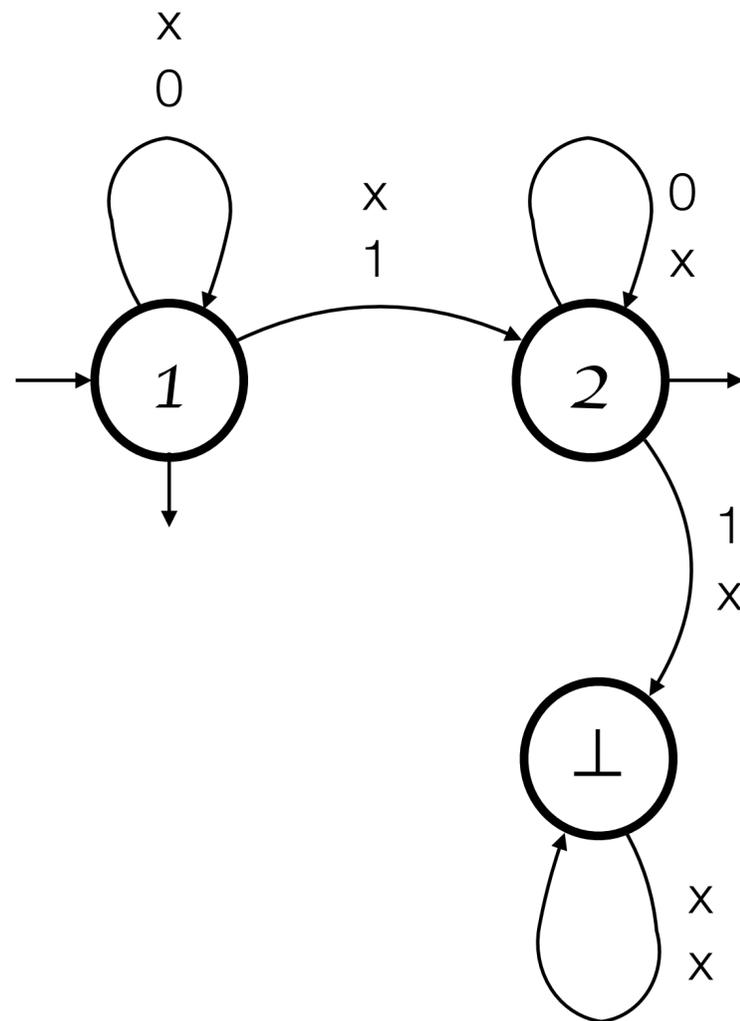
similar construction

MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Leftarrow " $\mathcal{X}_1 \leq \mathcal{X}_2$



MSO-definability = recognisability for words

Theorem [Trakhtenbrot-Büchi-Elgot] Let Σ be a finite alphabet.

Each language $L \subseteq \Sigma^*$ is recognisable \Leftrightarrow L is MSO-definable

" \Leftarrow " $\varphi \wedge \psi, \varphi \vee \psi, \neg\psi$ closure of recognizable sets under boolean operations

$$\begin{array}{ccc}
 (\Sigma \times \{0,1\}^{n-1})^* & \xleftarrow{\pi} & (\Sigma \times \{0,1\}^n)^* \xrightarrow{h} M \\
 \text{IU} & & \text{IU} \\
 \varphi(x_1 \dots x_n) & = & h^{-1}(N)
 \end{array}
 \qquad
 \begin{array}{ccc}
 (\Sigma \times \{0,1\}^{n-1})^* & \xrightarrow{H(w)=\{h(v) \mid \pi(v)=w\}} & 2^M \\
 \text{IU} & & \text{IU} \\
 \exists x_n \cdot \varphi(x_1 \dots x_n) & = & H^{-1}(\{P \subseteq M \mid P \cap N = \emptyset\})
 \end{array}$$